IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shunpei YAMAZAKI

Serial No.: Not Assigned

Filed: Herewith

Art Unit:

For: THERMAL TREATMENT EQUIPMENT AND

METHOD FOR HEAT-TREATING

"Express Mail" Mailing Label No. EV 321715292
Date of Deposit November 12, 2003

i heraby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Name: ARMANDO CHAIG. (typed or printed)

Signature drawato this

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the references was submitted to the Patent Office or was cited by the Examiner in the parent application, Serial No. 10/156,873, filed May 29, 2002, which is being relied upon for an earlier filing date under 35 U.S.C. 120 (37 C.F.R. §1.98(d)).

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

A first office action, notice of allowance or issue fee notification has not been received in this case, so applicant does not believe that a fee is due. However, if any such fee is required, please charge our Deposit Account No. 50/1039.

Respectfully submitted,

Mark J. Murphy

Registration No: 34,225

COOK, ALEX, McFARRON, MANZO, CUMMINGS & MEHLER, LTD. 200 West Adams Street Suite 2850 Chicago, Illinois 60606 (312) 236-8500

LIST OF PUBLICATIONS CITED BY APPLICANT			Atty. Docket No. 0553-0322.01	<u>Serial No.</u> Not Assigned		
			Applicant Shunpei YAMAZAKI			
			<u>Filing Date</u> Herewith	Group		
		U.	S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	5,997,286 6,310,327 6,427,462 US 2002/ 0134530 A1 6,474,986 US 2002/ 0179283	12/1999 10/2001 08/2002 09/2002 11/2002 12/2002	Hemsath et al Moore et al Suenaga et al Giacobbe Oda et al Suenaga et al	432 219 62 165 432 165	59 405 185 58 247 58	
	6,512,206	01/2003	McEntire et al	219	58	
		FOR	EIGN PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	NAME	English Abstract	English Trans.	FILING DATE
	JP 6-151414 JP 10-55951 JP 2000- 105081	05/31/94 02/24/98 04/11/00	Nippon Telegr. & Teleph. Corp. Sony Corp. Kanto Yakin Kogyo KK	X X X		11/02/92 08/12/96 09/25/98

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

1) U.S. Patent Application No. 10/098,688 (pending) to Yamazaki et al, filed March 15, 2002 including specification, abstract, claims, drawings and PTO filing receipt.

$FX\Delta$	MT	MFR	•

DATE CONSIDERED:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP form. Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Attorney Docket <u>0553-0322.01</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Shunpei YAMAZAKI) "Express Mail" Mailing Label No. EV 321715292) Date of Deposit November 12, 2003
Serial No: Not Assigned) Thereby certify that this correspondence is being
Filed: Herewith	deposited with the United States Postal Service
For: THERMAL TREATMENT EQUIPMENT AND METHOD FOR HEAT-TREATING	onder 37 CFR1.10 on the date indicated above and is addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450
To: Commissioner for Patents	(typed or printed)
P.O. Box 1450 Alexandria, VA 22313-1450	Signature Armand Ching

APPOINTMENT OF ASSOCIATE ATTORNEYS

Sir:

Please recognize the following as my associate attorneys in the above captioned application:

Granger Cook, Jr. Daniel C. McEachran William H. Magidson Edward M. Keating John L. Alex Daniel M. Riess Eugene M. Cummings Raymond M. Mehler James S. Pristelski Gary W. McFarron	Reg. Reg. Reg. Reg. Reg. Reg. Reg. Reg.	No. No. No. No. No. No. No. No.	18,283 19,804 19,902 20,646 22,017 24,375 24,398 26,306 27,222 27,357
Joel H. Bock	Reg.	No.	29,045
Stephen B. Heller	Reg.	No.	30,181
David Lesht	Reg.	No.	30,472
Andrew G. Kolomayets	Reg.	No.	33,723
Mark J. Murphy	Reg.	No.	34,225
David M. Mundt	Reg.	No.	41,207
Michael J. McGee	Reg.	No.	43,789
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Respectfully submitted,

Edward D. Manzo

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